

Corporate Reviews - Schedule of Internal Audit Projects 2015-16

Full Reviews				Recommendations			
Project	Planned Days	Planned Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
<u>Corporate Procurement</u> A corporate wide substantive testing review of a sample of purchase transactions via various methods, e.g. purchase orders, procurement cards and expense claims to ensure compliance with corporate procurement rules and corporate contracts are used where appropriate. Excluded from the review: tendering processes, supply chain management and contract monitoring.	15	31 st March 2016	Not Started				
<u>Petty Cash</u> A corporate wide substantive testing review of a sample of claims processed from larger and more frequently used petty cash imprests.	15	31 st March 2015	Draft Report				

<p><u>Cash Income Collection and Banking</u></p> <p>A corporate wide substantive testing review of a sample of cash income transactions are banked intact.</p>	20	26 January 2016	Final report AMBER	0	5	0	5
<p><u>Expenses</u></p> <p>A corporate wide substantive testing review of a sample of expense and travel claims made by those members, officers and staff who claim the most in terms of value and volume.</p>	15	31 st December 2015	Draft Report				
<p><u>Pre-Contract Project Appraisal</u></p> <p>A review to ensure that a robust approach exists over the decision to proceed with projects.</p>	15	31 st March 2016	Fieldwork				
<p><u>Liquidations</u></p> <p>A review to ensure that the impact of risks relating to contractor and consultant liquidations are minimised.</p>	15	31 st March 2016	Draft Report				

<u>Chamberlain's Department</u> <u>Main Accounting System – General Ledger</u> An assessment of the City's financial management systems in respect of financial reporting.	20	31 st December 2015	Fieldwork				
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